International Standards on Occupational Health and Safety

SPH 290 – Global OHS

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Week #3 – Fall 2018

Presentation Outline

- National vs. International
- Types of international standards
 - Framework documents
 - ILO documents
 - Voluntary consensus standards
 - Other international agreements

National vs. International

- National = legally enforceable
 - Depends on content of regulations
 - Depends on political will of government
 - Depends on resources of government
- International = most are guidelines
 - Lengthy complaint mechanisms (if any)
 - General, non-specific content

National Regulations

- Health Hazards (exposures)
 - Chemicals
 - Physical agents: noise, temperature, ergo
- Safety Hazards
 - Slips & trips; falls; struck by
 - Electrical hazards
 - Machine guarding & equipment operations
 - Confined spaces

Regulatory OELs

- Occupational Exposure Limits
- Goal: protect "most" workers
- Types:
 - Full shift: PELs
 - Short-term (15, 30 minutes): STELs
 - Never to be exceeded: CLs

Regulatory OELs

Assumptions:

- 8 hour day & 40 hour week
- Time-weighted average > peaks
- 40 years of workplace exposures

Required for evaluation:

- IH monitoring capacity
- Accurate laboratory analysis

Regulatory OELs

- Real life in global supply chains:
 - No one works only 8 hr / 40 hr
 - Massive exposures in short time periods
 - Community/environmental exposures
 - Near-zero national IH capabilities
 - Near-zero national analytical labs

National Regulations

- Scope and content of regs
 - China and Mexico regulations
 - Bangladesh and Indonesia regulations
- Enforcement of regs
 - Political will
 - Corruption
 - Resources: financial, human, technical

- International Covenant on Economic,
 Social and Cultural Rights (UN)
 - Adopted in 1966
 - Went into effect in 1976
 - "safe and healthy working conditions" -Part III, Article 7 (b)

- ILO Tripartite Declaration of Principles concerning Multinational Enterprises and Social Policy
 - Adopted in 1977
 - Amended in 2000, 2006 and 2017
 - Safety and Health paragraphs 43-46
 - "multinational enterprises should maintain the highest standards of safety and health"

- ILO Declaration on Fundamental Principles and Rights at Work
 - Adopted in 1998
 - Annex revised in 2010
 - OHS not categorized as a "fundamental right," but governments called on to "promote and realize" ILO Conventions, even if not ratified.

- ILO Decent Work Agenda
 - Developed in 1999 and integrated into later
 ILO documents
 - "Four pillars" of Decent Work Agenda
 - Employment creation
 - Social protection
 - Rights at work
 - Social dialogue

- ILO Declaration on Social Justice for a Fair Globalization
 - Adopted in 2008
 - "healthy and safe working conditions" (page 10)
 - How governments implement ILO conventions depends on "national conditions and circumstances" (page 11)

- OECD Guidelines for Multinational Enterprises
 - Organization for Economic Co-operation and Development
 - Adopted in 2008
 - MNEs should "take adequate steps to ensure health and safety in their operations" (Section IV, 4 b))

- OECD Due Diligence Guidance for Responsible Business Conduct
 - Adopted in May 2018
 - Goal: "provide practical support to enterprises on the implementation of the OECD Guidelines for Multinational Enterprises...in specific sectors and supply chains, namely minerals, agriculture, garment and footwear, extractives and finance."

- United Nations Guiding Principles on Business and Human Rights
 - "Implementing the United Nations 'Protect, Respect and Remedy' framework"
 - Adopted in 2011 by UN Human Rights Council
 - Both "state duty" and "corporate responsibility"
 - "Business enterprises should respect human rights" as per ILO and other documents (Point 11, page 13)

- Transforming our World: The 2030 agenda for sustainable development
 - Adopted in 2015 by the United Nations
 Sustainable Development Program
 - 17 Sustainable Development Goals
 - OHS involved in Goals #1, #3 and #8
 - "Promote safe and secure working environments for all workers, including migrant workers, in particular women migrants, and those in precarious employment" (Goal 8.8)

- ILO Forced Labour Protocol
 - Adopted 2014 effective November 2018
 - 24.9 million people in forced labor
 - 16 million in private sector (ag, construction, domestic work)
 - 4.8 million in forced sexual exploitation
 - 4 million in forced labor by state authorities
 - 1 in 4 victims of modern slavery = children

- ILO Guidelines for a just transition towards environmentally sustainable economies and societies for all
 - Adopted in 2015
 - Guiding Principles (Section IV):
 - 13 (b): "Policies must respect, promote and realize fundamental principles and rights at work"
 - 13 (c): "Policies and programmes need to take into account the strong gender dimension..."

- Five types of ILO documents:
 - Conventions
 - Recommendations
 - Protocols
 - Guidelines
 - Codes of Practice

- Guidelines on occupational safety and health management systems – ILO-OSH 2001
 - First OSHMS program globally
 - Initiated after ISO decided not to develop a OSHMS (until 2014)
 - ILO's tripartite nature created significant worker participation in this OSHMS

40+ OHS documents

- Fundamental principles of occupational safety and health
- Health and safety in particular branches of economic activity
- Protection against specific risks
- Protection for vulnerable populations
 - Early years = restrictions on women
 - Now = protect women, migrants, indigenous

- Text contains general requirements, "performance standards" rather than "specification standards"
- Conventions "binding" only when ratified by country – some countries require additional incorporation into national law
- Lengthy ILO complaint process only recourse for non-compliance

- Convention ratification examples:
 - The ILO has 187 member states
 - C139 Occupational Cancer (1974) 41 ratifications
 - C148 Working Environment (1977) 46 ratifications
 - C155 Occupational Safety and Health (1981) – 67 ratifications

- Convention ratification examples from 187 member states:
 - C170 Chemicals (1990) 21 ratifications
 - C174 Prevention of Major Industrial Accidents (1993) – 18 ratifications
 - C187 Promotional Framework for
 Occupational Safety and Health (2006) –
 46 ratifications

ILO Summary

On the plus side:

- ILO Conventions are nominally enforceable
- Suite of ILO documents covers most issues
- More specific and comprehensive than documents from other organizations

On the minus side:

- Performance standards without specifics
- Little to zero enforcement

Voluntary Consensus Standards

- ACGIH TLVs and BEIs
- NIOSH RELs
- ISO standards and guidelines
- NFPA, ANSI, ASTM, AMSE, ASHRAE
- OARS WEELs

ACGIH

- American Conference of Governmental Industrial Hygienists
- Founded in 1938
- TLVs = basis for Federal OSHA
 exposure limits in 1970, other countries
 (e.g., Mexico and Indonesia) have
 adopted as regulatory limits 700
 chemicals and physical agents

ACGIH

Key documents:

- Threshold Limit Values for chemicals
- Threshold Limit Values for physical agents
- Biological Exposure Indices (50 chemicals)
- Industrial ventilation manual
- Air sampling instruments manual
- Bioaerosols assessment and control
- Control of laser hazards

NIOSH

- National Institute for Occupational Safety and Health
- Established in 1970 under OSHA Act
- Mandate: research and recommend health and safety standards to OSHA and MSHA – but NIOSH recommendations generally ignored by regulatory agencies

NIOSH

- Key documents:
 - NIOSH Pocket Guide to Chemical Hazards (677 chemicals)
 - Recommended Exposure Limits (RELs)
 - Criteria Documents
 - Alerts
 - Special Hazard Reviews, Occupational Hazard Assessments, Technical Guidelines

ISO

- International Organization for Standardization
- Founded in 1946
- Currently 161 national standard setting bodies; 780 technical committees; staff of 135 at Secretariat in Geneva
- 22,209 standards and guidelines certification process for standards

ISO

- Key ISO documents:
 - 90001:2015 Quality ManagementSystem
 - 14001:2015 Environmental ManagementSystem
 - 26001:2010 Guidance on Social Responsibility
 - 45001:2018 OHS management system

NFPA

- National Fire Protection Association
- Founded in 1896
- 50,000+ members globally; 250 technical committees with 9,000 volunteers
- 3,000+ consensus codes and standards
 - Electrical, fire, building, and life safety

ANSI

- American National Standards Institute
- Founded in 1918
- 1,000+ members from industry, government, institutions and international;
- 237 accredited standard developers
- 11,500 American National Standards
- Official US representative to ISO and International Electrotechnical Commission (IEC)

ASTM International

- American Society for Testing and Materials
- Founded in 1898
- 30,000 members; 140 technical committees, in 152 countries
- 12,000 ASTM standards published yearly
- 6,700 ASTM standards adopted in US and other countries

ASME

- American Society of Mechanical Engineers
- Founded in 1880
- 130,000 members, including 32,000 student members, 151 countries
- Codes and standards on machinery and equipment

ASHRAE

- American Society of Heating,
 Refrigeration and Air Conditioning
 Engineers
- Founded in 1894
- 56,000 members in 132 countries
- Codes and standards for heating, ventilation, air conditioning and refrigeration

OARS - WEELs

- Occupational Alliance for Risk Science -Workplace Environmental Exposure Levels
- WEELs developed by AIHA until 2013 when transferred to OARS, which is run by TERA – Toxicology Excellence for Risk Assessment, a non-profit corporation
- 131 chemicals on OEL list

Other International Standards

- SAICM
- World Bank/International Finance Corporation
- Treaties on POPs, mercury and conflict minerals
- Proposed treaty on Human Rights Due Diligence

SAICM

- Strategic Approach to International Chemicals Management
- Dubai conference in 2006 sponsored by UNEP and WHO
- "2020 Goal" = "chemicals are produced and used in ways that minimize significant adverse impacts on the environment and human health"

SAICM

- Key documents:
 - Dubai Declaration
 - Overarching Policy Strategy
 - Risk reduction; knowledge and information; governance; capacity-building and technical cooperation; and illegal international traffic
 - Global Plan of Action

WB-IFC

- World Bank International Finance Corporation
- General Environmental Health, and Safety Guidelines (2007)
- Industrial Sector Guidelines (2007 on)
- 10 Environmental and Social Standards, required for borrowers (2017)

World Bank

- Environmental and Social Framework
 - Chapter 2: Labor and Working Conditions
 - Guidance Notes for Borrowers
- Guidance Notes = "not World Bank policy, nor are they mandatory"
- Not comprehensive; performance standards; voluntary guidelines

International Treaties

- Environmental and human rights treaties with OHS aspects and impacts
- 2004: Stockholm Convention on Persistent Organic Pollutants
- 2013: Minamata Convention on Mercury
- 2021: EU Regulation on Conflict Minerals (tin, tantalum, tungsten and gold)

Human Rights Due Diligence (HRDD)

- Concept established in the 2011 UN Guiding Principles on Business and Human Rights
- Proposal for a binding international treaty with enforceable complaint mechanism
- Requires mandatory HRDD legislation on national and international levels

HRDD

- 10 key elements proposed by the European Coalition for Corporate Justice (see reading list)
- Goal: "identify, prevent, mitigate and account for" actual or potential adverse human rights impacts a corporation may create directly or via its business relationships, including supply chains

Summary

- Strong worker protections require comprehensive national regulations; well-resourced government regulatory agencies; political will; and membercontrolled worker organizations
- In the absence of these elements = Plan B

Summary

Plan B:

- Use of non-regulatory international standards and guidelines as benchmarks for corporate performance throughout supply chains
- Sustained campaigns to highlight hazards and demand compliance: media/publicity, legal, investor, consumer, and support for worker-initiated actions

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